

**A. INDIVIDUAL / CORPORATE PARTICULARS**

Name  
(As per NRIC/Passport/  
Other ID/Co.Reg.)

Form of Identification  Co. Registration / NRIC  Passport  Army/Police Identity  Others, please specify

Identification No. / Company Registration No. Old IC

CDS Account Number 0 7 3 - - Trading Account Number

Branch Code Dealer's Rep Code

**B. PRODUCTS AND FACILITIES (please mark 'x' wherever is applicable)**

| CONVENTIONAL                                   | ISLAMIC                  | DESCRIPTION   |
|--|--------------------------|---|
| <input type="checkbox"/>                       | <input type="checkbox"/> | <input type="checkbox"/> Kenanga Nominees<br><input type="checkbox"/> Direct<br>Global Trading Settlement:<br><input type="checkbox"/> RM <input type="checkbox"/> non-RM   |
| <input type="checkbox"/>                       | <input type="checkbox"/> |   |
| <input type="checkbox"/>                       | <input type="checkbox"/> |   |
| Share Margin Account                           |                          | <input type="checkbox"/> Short-term Margin: M2 (30 days) <input type="checkbox"/> Normal Margin: M3 (3 months)<br><input type="checkbox"/> Short-term Margin-i or StockTrade-i: M2-i (30 days) <input type="checkbox"/> Normal Margin Financing-i: M3-i (3 months)<br>Annual Income: RM _____ |
| Online Trading                                 |                          | <input type="checkbox"/> Local <input type="checkbox"/> Global  |
| Electronic Share Payment Direct Credit ('ESP') |                          | <input type="checkbox"/> Yes <input type="checkbox"/> No <small>(Please provide mandatory Bank Account Details, Mobile No. and eMail Address via Customer Information Form)</small>   |
| Trading in LEAP Market                         |                          | <input type="checkbox"/> Activation <input type="checkbox"/> Deactivation <small>(Mandatory to execute LEAP Market Risk Disclosure Statement for qualified Sophisticated Investor<sup>1</sup>)</small>  |
| Intraday Short Selling ('IDSS')                |                          | <input type="checkbox"/> Activation <input type="checkbox"/> Deactivation <small>(Mandatory to execute IDSS Risk Disclosure Statement &amp; SBL Agreement)</small>  |
| Securities Borrowing & Lending ('SBL')         |                          | <input type="checkbox"/> Borrowing only <input type="checkbox"/> Lending only <input type="checkbox"/> Borrowing & Lending  |
| Other Product (please specify)                 |                          |   |

<sup>1</sup> Sophisticated Investor under Part I of Schedules 6 and 7 of the Capital Markets and Services Act 2007, or Bursa's Rules 16.02 (2)(b) and (3)(c)

**C. COLLATERAL OFFERED** \*Please write on a separate sheet if the space provided below is insufficient.

Credit Limit Applied for: RM \_\_\_\_\_

| Securities | Quantity |
|------------|----------|
|            |          |
|            |          |
|            |          |

| Securities | Quantity |
|------------|----------|
|            |          |
|            |          |
|            |          |

|                               |  |
|-------------------------------|--|
| Initial Cash Deposit (RM)     |  |
| Initial Cash Deposit (Non-RM) |  |

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**D. DECLARATION, ACKNOWLEDGMENT AND AUTHORISATION BY CUSTOMER**

I/We hereby solemnly, voluntarily, conscientiously, irrevocably and unconditionally declare / consent, that I/we have read and fully understood the full contents of the following:

1. I/We acknowledge and agree to abide by all the terms of this Equity Broking Account Opening ("Products") Form. The terms of this Products Form shall be read together with the terms of the Customer Information Form ("CIF"). The terms of this Products Form shall prevail in the event of any inconsistency between these terms and the terms of the CIF.
2. I/We hereby declare that the particulars and information given in this Products Form are true and correct and I/We have not withheld any material facts or information from KIBB. I/We undertake to furnish KIBB with such additional particulars as KIBB may require from time to time. I/We further authorize KIBB to verify the information given by me/us from any source (including third parties and regulatory bodies) and in such manner as KIBB shall deem fit. I/We undertake to inform KIBB in writing should there be any change(s) to our particulars declared in this Products Form.
3. I/We hereby instruct/authorize KIBB to credit all my/our sale proceeds, dividend and net contra gains, whichever is applicable due to me/us after deducting all outstanding charges, contra losses etc arising from my transactions effected through my/our trading account shall be auto credited to my/our trust account. This standing instruction shall take effect until I/we expressly revoke it by executing the Equity Broking Update form.
4. In consideration of my/our instruction/authorization for my/our trust withdrawal to be credited to the bank account(s) provided by me/us, I/we undertake and agree to indemnify KIBB from and against all actions, demands, losses, damages, costs, charges and/or expenses which KIBB may sustain, incur and/or be liable in consequence of, attribute to or arising from KIBB performing the aforesaid request/instruction or otherwise in relation thereto. I/We agree that in the event the payment into my/our bank account cannot be effected due to unforeseen circumstances, KIBB may credit the payment into my/our trust account with KIBB without KIBB having to consult or confirm with me/us. KIBB has the right to reject my/our instruction in the event it is found that the bank account(s) as provided by me/us is a third party account.
5. \*I/We have read and understood the risk disclosure statement as stated in the \*Client Account Common Terms and Conditions, or the \*Client Account Common Terms and Conditions for Islamic Stockbroking in investing in structured warrants, or the \*Terms and Conditions governing Securities Borrowing and Lending products and facilities. (*\*where applicable*).
6. I/We hereby confirm my/our account settlement instruction for Global Trading as stated above, and I/We hereby agree with the following:
  - a) All receipt and payment of foreign currencies must be remitted via interbank / telegraphic transfer to "Kenanga Investment Bank Berhad" only. Any bank charges shall be borne by me/us. Foreign currency cash/cheque is not acceptable over the counter.
  - b) I/we will instruct KIBB by giving one (1) market day advance notice for any cross currency settlement / withdrawal of trust monies in foreign currency and KIBB shall effect the remittance transaction based on the relevant currency conversion rate.
  - c) To abide by KIBB's internal policies, the applicable rules and regulations imposed by the regulators and any amendments or variation thereof.
  - d) To bear any fees, charges and / or penalties which may be charged by any agent banks or banking institutions should I/we remit foreign currency inward or outward via interbank/telegraphic transfers.
7. I/We hereby declare that the status of my/our trading account is as stated below: **(tick where applicable)**
  - For me / us as a beneficial owner; or
  - For me / us as an authorized nominee / exempt authorized nominee (please cross out which are not relevant)
8. I/We hereby declare that the beneficial owner(s) of my/our trading account and CDS account is/are the same (only applicable for those who select authorized nominee/exempt authorized nominee under paragraph 7).
9. I/We hereby provide my/our consent to, and agree that, KIBB shall be entitled to co-mingle all or part of monies deposited by me/us for KIBB to hold and to utilize for payment of all such amount that may be due from me/us from time to time under my/our trading account(s) with KIBB for trading purposes ("Deposit"), with other sums that KIBB may hold for other clients in trust account(s) with financial institution(s) of its choice. I/We shall be entitled to receive interest / profit earned on the Deposit at the rate prescribed by KIBB in its sole and absolute discretion ("Interest / Profit Earned"), provided that I/we maintain minimum Deposit of a sum as prescribed and notified by KIBB ("Minimum Amount"). I/We further consent and agree that KIBB shall be entitled to keep the following interest / profit earned to defray KIBB's administrative costs:
  - a) in the event where my/our Deposit is above the Minimum Amount, all the interest / profit earned from the Deposit exceeding the Interest / Profit Earned; and
  - b) in the event where my/our Deposit is the Minimum Amount or below, all interest / profit earned on my Deposit.
10. I hereby consent to the disclosure by Bursa Malaysia Depository Sdn. Bhd. ("Bursa Depository") to KIBB, and to such agents, service providers and sub-contractors of KIBB as informed by KIBB to Bursa Depository, of information or documents relating to my affairs and in particular, relating to my account(s) (for example balances, account particulars and transactions) ("Information"). This consent shall be valid until revoked by me. I hereby release Bursa Depository from any loss or liability arising from or in connection with this authorisation except for loss or liability which I may suffer as a result of any act, statement or omission that was not done in good faith by Bursa Depository.

**Note:**  
"KIBB" means Kenanga Investment Bank Berhad (15678-H) or any of its subsidiaries, including branches, and shall include its respective successors in title and assigns. "Beneficial Owner", "Authorised Nominee" and "Exempt Authorised Nominee" have the meaning as in the Securities Industry (Central Depositories) Act and the Depository Rules.

\_\_\_\_\_  
Signature of Customer (Individual) /  
Authorised Signatory (Corporate)  
Name:  
NRIC/Passport/Co.Reg./Other ID:  
Date:

*For Corporate Customer Only.  
Please affix your company  
stamp / common seal in  
accordance to the Board  
Resolution*

\_\_\_\_\_  
Signature of Witness  
*(please delete whichever is not applicable)*  
Dealer's Representative/Authorised Officer of  
KIBB/Commissioner for Oaths/Authorised Officer of a  
Malaysia Embassy or High Commission Consulate/Notary  
Public/Advocate or Solicitor/Company Secretary  
Name:  
NRIC/Passport No/Other ID:  
Date:

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**E. DECLARATION BY DEALER'S REPRESENTATIVE**

- 1) No of years I have known the Customer \_\_\_\_\_
- 2) How do I know the Customer/relationship \_\_\_\_\_
- 3) Other background information \_\_\_\_\_

I hereby declare that the information given by me is true and correct and I have not withhold any information which might prejudice this application. I further declare that all information given by the Customer on this form has been verified and is a correct record and reflection of the Customer. I also confirm that the signature on this page is that of the Customer and am aware that the Customer is required to comply with all terms and conditions accompanying this application from which KIBB may vary from time to time at its absolute discretion.

I hereby agree to accept the above person, namely \_\_\_\_\_, NRIC/Passport No/Co.Reg.No \_\_\_\_\_ ("Customer") as my customer. I take cognizance of the Customer's application and request herein as well as the terms and conditions specified herein and I further support the application and recommend that the application be approved. I further confirm that I have witnessed the signature of the Customer.

I undertake to indemnify KIBB against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered or which KIBB may incur or suffer in relation to KIBB opening an account for this Customer and the subsequent operation thereof including non-observance and/or non-payment of any transactions in securities entered into between the Customer and KIBB (whether in respect of the Customer's trading account, collateralised trading account and/ or margin account and/or discretionary financing account

\_\_\_\_\_  
 Signature of Dealer's Representative  
 Name:  
 NRIC/Passport/Other ID:  
 Date:

|  |
|--|
| <p><u>Recommended Trading Limit Allocation:</u></p> <p>_____ % BTX Limit. _____ % CQ Limit.</p> <p><u>Brokerage Rate &amp; Intraday Option:</u></p> <p>_____</p> |
|--|

**F.1 OFFICE USE (To be completed by Branch &/or EBTP)**

**Application Form / Risk Profile Classification**

Checked By \_\_\_\_\_ Date \_\_\_\_\_

Approved By \_\_\_\_\_ Date \_\_\_\_\_

**Creation of Account**

**Employee Category:**     Staff             Remisier             Dealer             Trading Representative

Processed / Data Entry By \_\_\_\_\_ Date \_\_\_\_\_

Checked By \_\_\_\_\_ Date \_\_\_\_\_

**F.2 OFFICE USE (To be completed by CEB/Margin)**

|                | Buy Limit | Sell Limit |
|----------------|-----------|------------|
| <b>Trading</b> |           |            |

Credit Check             Yes             No

Remarks \_\_\_\_\_

Limit Recommended by \_\_\_\_\_ Date \_\_\_\_\_

Limit Approved by \_\_\_\_\_ Date \_\_\_\_\_