

CORPORATE ACCOUNT UPDATE FORM

Please read the Terms & Conditions before filling this Form. Please complete this form in BLOCK LETTERS and mark (X) wherever applicable.

1. This form can only be used for updating the particulars of your Trading Account opened with KIBB.

2. If you require the changes to also be made to your CDS Account, you are required to complete the CDS Account Update Form.

3. To keep your records up to date, it is **compulsory** for you to provide the latest information on all of the below mentioned **key information fields** marked with (#)

1. # Name: _____
(As per Company Registration Document – If there are changes of Company Name please provide Form 13 or 20 or the equivalent forms in Section 28-Companies Act 2016) or equivalent document)

2. # Company Reg./ New SSM No : _____ Old Co. Reg. No.: _____

3. # Sales and Service Tax No (SST No) : _____ Date of Incorporation: _____

4. #Tax Residence : Malaysian Non Malaysian Country : _____

Tax Identification Number (TIN): _____

If TIN No. is not provided, please state the reason:

The country/jurisdiction of tax residence does not issue TINs to its residents

TIN is not required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of TIN issued by such jurisdiction)

Other reason: _____

5. # Trading Acc. No.: _____ Trading Acc. No.: _____

CDS Acc. No.: _____ CDS Acc. No.: _____

If you require changes to CDS tagging, please select as may be applicable

Tag My Account to Existing CDS Account

Tag My Account to New CDS Account

Remarks for CDS tagging: _____

6. Change of Address Registered Address Mailing Address

New Address

*Note: For changes of Registered Office, please provide Form 44 (Section 46 or 14 – CA 2016)

7. # Bank Account Information – MYR Currency (*indicate no change if information provided is updated*)

*Note: You can register up to 2 MYR denominated and 1 Foreign Currency denominated Bank Accounts

Local Bank Account Details – 1

Add Remove No Changes

a) Bank Account Name: _____

b) Bank Name: _____

c) Account Number: _____

Local Bank Account Details – 2

Add Remove No Changes

a) Bank Account Name: _____

b) Bank Name: _____

c) Account Number: _____

Bank Account Information - cont

Foreign Currency denominated Bank Accounts

Add Remove No Changes

Bank Account Information – Foreign Currencies (if applicable): USD SGD Others _____

- a) Bank Account Name: _____
- b) Bank Name: _____
- c) Account Number: _____
- d) Bank Swift Code: _____

8. # TYPE OF BUSINESS/INDUSTRY: _____

9. Ownership:

- Bumi-Controlled Non-Bumi Controlled Government
- Foreign Controlled Others (please specify) _____

10. # KEY INFORMATION FIELDS (to be updated on regular basis – indicate no change if information is updated)

- a) Office Telephone Number: _____
- b) Mode of Delivery for Contract Note/Statement etc. (choose one only)
 - Email (No Charges) Postal (Charges apply*)

**By ticking postal mode delivery, I/We authorize KIBB to impose the related postage fee & other charges to my/our Account.*

11. # DETAILS OF AUTHORISED PERSON(S) & DIRECTORS

a) Authorised Person(s) Details (Board Resolution is required)

| <u>Authorised Person - 1</u> | <u>Authorised Person - 2</u> |
|--|--|
| <input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes | <input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes |
| Name : _____ | Name : _____ |
| NRIC / Passport No : _____ | NRIC / Passport No : _____ |
| Mobile No : _____ | Mobile No : _____ |
| Email Address : _____ | Email Address : _____ |
| Designation : _____ | Designation : _____ |

(Please provide in separate sheet if space provided is not sufficient)

b) Authorised Signatory(s) Details (Board Resolution and copy of NRIC/Passport is required)

| <u>Authorised Signatory - 1</u> | <u>Authorised Signatory - 2</u> |
|--|--|
| <input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes | <input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes |
| Name : _____ | Name : _____ |
| NRIC / Passport No : _____ | NRIC / Passport No : _____ |
| Mobile No : _____ | Mobile No : _____ |
| Email Address : _____ | Email Address : _____ |
| Designation : _____ | Designation : _____ |

(Please provide in separate sheet if space provided is not sufficient)

c) Authorised User for Online Trading Platform- “KenTrade”

(Board Resolution or Letter of Instruction from Authorised Signatory(s) is required.)

Authorised KenTrade User (Only 1 user access is permitted)

Add Remove No Changes

Name : _____

NRIC / Passport No : _____

Mobile No : _____

Email Address : _____

Designation : _____

d) Director(s) Details (Please provide relevant forms in Section 14 – Companies Act 2016 or latest Annual Return.)

| | |
|---|---|
| <p><u>Director Details - 1</u></p> <p><input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes</p> <p>Name : _____</p> <p>NRIC / Passport No : _____</p> | <p><u>Director Details - 2</u></p> <p><input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes</p> <p>Name : _____</p> <p>NRIC / Passport No : _____</p> |
|---|---|

(Please provide in separate sheet if space provided is not sufficient)

e) Details of Shareholders Holding >25% (Please provide relevant forms in Section 14 – Companies Act 2016 or latest Annual Return.)

| | |
|---|---|
| <p><u>Shareholders Details - 1</u></p> <p><input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes</p> <p>Name : _____</p> <p>NRIC / Passport No : _____ or _____</p> <p>Company Registration No : _____</p> | <p><u>Shareholders Details - 2</u></p> <p><input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes</p> <p>Name : _____</p> <p>NRIC / Passport No : _____ or _____</p> <p>Company Registration No : _____</p> |
|---|---|

If any of the above shareholder(s) is a company, please provide details of the individual Ultimate Beneficial Owner (UBO) in the table below.

f) Details of Individual Ultimate Beneficiary Owner

(Please provide relevant forms in Section 14 – Companies Act 2016 or latest Annual Return.)

| | |
|---|---|
| <p><u>Ultimate Beneficiary Owner - 1</u></p> <p><input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes</p> <p>Name : _____</p> <p>NRIC / Passport No : _____ or _____</p> <p>Company Registration No : _____</p> | <p><u>Ultimate Beneficiary Owner - 2</u></p> <p><input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> No Changes</p> <p>Name : _____</p> <p>NRIC / Passport No : _____ or _____</p> <p>Company Registration No : _____</p> |
|---|---|

If the individual Ultimate Beneficial Owner (UBO) cannot be determined, please indicate the corporate UBO and add remarks that this is a “Complex Structure”

PRODUCTS & FACILITIES UPDATE

12. ASSIGNMENT OF NEW USER FOR "KENTRADE"

- YES (please submit 'Board Resolution authorizing activation of online trading facility')
- NO

13. SUBSCRIPTION FOR TRADING IN FOREIGN MARKET ACTIVATE DEACTIVATE

Note : For trading in US / Canada Shares please submit W-8BEN-E or W-9

- Settlement options RM Foreign Base Currency
- Online Access for Foreign Market ACTIVATE DEACTIVATE
- Limit Allocation LOCAL _____% Global _____%

(required to complete this section only if you wish to trade in foreign markets)

14. # DOMESTIC CREDIT FACILITY (DCF)

Declaration of Domestic Credit Facility as at to-date as defined under Foreign Exchange Policy Notices of Bank Negara Malaysia (FEP Notices):

- We do **not have** any domestic credit facility as defined under the FEP Notices.
- We do **have** domestic credit facility as defined under the FEP Notices.

Declaration of Exposure Limits in Foreign Investments (in RM equivalent) for the current calendar year-to-date:

- Currently Invested: RM _____
- Remaining balance for investment: RM _____

15. CONVERSION OF PRODUCTS: Current Product: _____

New Product: Retail Collateralised Cash Upfront

16. Discretionary Financing (DF): ACTIVATE DEACTIVATE

Applicable for Collateralised Account with Auto Trust

17. Intraday Option: FIFO T+2 T+3 No intraday

18. Auto Set off of Trust Monies against Purchase: ACTIVATE DEACTIVATE

19. Trading in Leap Market: Activation Deactivation

(Mandatory to execute LEAP Market Risk Disclosure Statement for qualified Sophisticated Investor¹)

¹Sophisticated Investor under Part I of Schedules 6 and 7 of the Capital Markets and Services Act 2007, or Bursa's Rules 16.02 (2)(b) and (3)(c)

20. Intraday Short Selling ('IDSS'): Activation Deactivation

(Mandatory to execute IDSS Risk Disclosure Statement & SBL Agreement)

21. Securities Borrowing & Lending ('SBL'): Borrowing only Lending only Borrowing & Lending

22. Request for Other Services (please elaborate)

TERMS & CONDITIONS/DECLARATION BY CUSTOMER

1. I/We have read, understand and acknowledge the relevant terms and conditions governing the above mentioned accounts and/or facilities and fully accept and agree to be continued to be bound by the said terms, including the terms in the Customer Information Form ("CIF") and the *Client Account Common Terms and Conditions, or the *Client Account Common Terms and Conditions for Islamic Stockbroking, or the *Terms and Conditions governing Securities Borrowing and Lending products and facilities. (**where applicable*).
2. I/We hereby confirm my/our account update information as stated in this form and I/we hereby agree with the following:
 - a) All receipt and payment for local and/or foreign currencies for global (foreign shares) trading must be remitted via interbank / telegraphic transfer to "Kenanga Investment Bank Berhad" only. Any bank charges shall be borne by me/us. Foreign currency cash/cheque is not acceptable over the counter.
 - b) I/We will instruct KIBB by giving one (1) market day advance notice for any cross-currency settlement / withdrawal of trust monies in foreign currency and KIBB shall effect the remittance transaction based on the relevant currency conversion rate.
 - c) To abide by KIBB's internal policies, the applicable rules and regulations imposed by the regulators and any amendments or variation thereof.
 - d) To bear any fees, charges and / or penalties which may be charged by any agent banks or banking institutions should I/we remit foreign currency inward or outward via interbank/telegraphic transfers.
3. Electronic Statement: I/We accept and assume the inherent risks that are associated with receiving electronic information. I/We may revoke my/our consent to receive e-statement at any time by giving KIBB written notice within seven (7) days.
4. Change of Dealer's Representative: I/We confirm that if there is an outstanding balance as stated under my/our account maintained with KIBB as at to-date, I/we agree that KIBB reserves to withhold the change request until the outstanding balance in my/our account is fully settled.
5. I/We hereby instruct/authorize KIBB to credit all my/our sale proceeds, dividend and net contra gains, whichever is applicable due to me/us after deducting all outstanding charges, contra losses etc arising from my transactions effected through my/our trading account shall be auto credited to my/our trust account. This standing instruction shall take effect until I/we expressly revoke it by giving KIBB written notice within seven (7) market days
6. In consideration of my/our instruction/authorization for my/our trust withdrawal to be credited to the bank account(s) provided by me/us, I/we undertake and agree to indemnify KIBB from and against all actions, demands, losses, damages, costs, charges and/or expenses which KIBB may sustain, incur and/or be liable in consequence of, attribute to or arising from KIBB performing the aforesaid request/instruction or otherwise in relation thereto. I/We agree that in the event the payment into my/our bank account cannot be effected due to unforeseen circumstances, KIBB may credit the payment into my/our trust account with KIBB without KIBB having to consult or confirm with me/us. KIBB has the right to reject my/our instruction in the event it is found that the bank account(s) as provided by me/us is a third-party account.
7. I/We hereby provide my/our consent to, and agree that, KIBB shall be entitled to co-mingle all or part of monies deposited by me/us for KIBB to hold and to utilize for payment of all such amount that may be due from me/us from time to time under my/our trading account(s) with KIBB for trading purposes ("Deposit"), with other sums that KIBB may hold for other clients in trust account(s) with financial institution(s) of its choice. I/We shall be entitled to receive interest / profit earned on the Deposit at the rate prescribed by KIBB in its sole and absolute discretion ("Interest Earned"), provided that I/we maintain minimum Deposit of a sum as prescribed and notified by KIBB ("Minimum Amount"). I/We further consent and agree that KIBB shall be entitled to keep the following interest / profit earned to defray KIBB's administrative costs:
 - a) in the event where my/our Deposit is above the Minimum Amount, all the interest / profit earned from the Deposit exceeding the Interest Earned; and
 - b) in the event where my/our Deposit is the Minimum Amount or below, all interest / profit earned on my Deposit.
8. I/We hereby declare that all the particulars and information including any relevant document provided by me/us in this Corporate Account Update Form are true and correct and I/We have not withheld any material facts or information from KIBB. I/We undertake to furnish KIBB with such additional particulars including any relevant document as Kenanga Group may require from time to time. I/We further authorise KIBB to verify the information given from any source (including third parties) and in such manner as KIBB shall deem fit. I/We undertake to inform KIBB in writing should there be any change(s) to my/our particulars declared in this Corporate Account Update Form.

* The full Client Account Common Terms and Conditions can be accessed at <https://www.kentrade.com.my/terms-conditions>

Note:

"KIBB" means Kenanga Investment Bank Berhad (197301002193 (15678-H)) including branches and shall include its respective successors in title and assigns.

"Beneficial Owner", "Authorised Nominee" and "Exempt Authorised Nominee" have the meaning as in the Securities Industry (Central Depositories) Act 1991 and the Depository Rules.

*For Corporate Customer Only.
Please affix your company stamp / common seal in accordance with the
Board Resolution*

Witness (Remisier/Dealer/EBTP)

Client Name: _____

Witness Name: _____

Client Signature: _____

Witness Signature: _____

Date: _____

Date: _____

FOR OFFICE USE ONLY

Verified By: _____

Approved By: _____

Data Entry By: _____

Date: _____

Date: _____