

## INDIVIDUAL ACCOUNT UPDATE FORM

Please read the Terms & Conditions before filling this Form.  
Please complete this form in BLOCK LETTERS and mark (X) wherever applicable

1. **# NAME:** \_\_\_\_\_  
(As per NRIC/Passport)

2. **FORM OF IDENTIFICATION "ID" (for update ID please attach a copy of your new NRIC/Passport)**

☐ NRIC ☐ Passport ☐ Update ID

**# NRIC/Passport:** \_\_\_\_\_ Old NRIC: \_\_\_\_\_

3. **CDS Acc. No.:** \_\_\_\_\_

Trading Acc. No.: \_\_\_\_\_ Trading Acc. No.: \_\_\_\_\_

4. **Change of Address** ☐ Permanent Address (as per NRIC) ☐ Mailing Address

New Address

_____	_____
_____	_____
_____	_____
_____	_____

In order to keep your records up-to-date, it is **compulsory** for you to provide the latest information on all of the below mentioned key information fields marked **#**, together with any other information that you may wish to update.

5. **# KEY INFORMATION FIELDS (to be updated on regular basis – indicate no change if already updated)**

a) Email Address: \_\_\_\_\_

b) Mobile Number: \_\_\_\_\_

c) Mode of Delivery for Contract Note/Statement etc. (choose one only)

☐ Email (No Charges) ☐ Postal (Charges apply\*)

\*By ticking postage mode delivery, I authorize KIBB to impose the related postage & other charges to my Account.

6. **# Bank Account Information – MYR currency (indicate no change if already updated)**

a) Bank Name: \_\_\_\_\_

b) Bank Account Name: \_\_\_\_\_

c) Account No.: \_\_\_\_\_

**Bank Account Information – Foreign Currencies** (if applicable): ☐ USD ☐ SGD ☐ OTHERS

d) Bank Name: \_\_\_\_\_

e) Bank Account Name: \_\_\_\_\_

f) Account Number: \_\_\_\_\_

g) Bank Swift Code: \_\_\_\_\_

\*Please use attachments for more than 1 account.

If Joint Account, State the Name of Joint Holder: \_\_\_\_\_

\*Please attach a copy of your bank statement with your current address when updating bank account information

## 7. # EMPLOYMENT DETAILS

Occupation/Designation: \_\_\_\_\_

Employer/ Business name/ College (if student): \_\_\_\_\_

Type of Business/ Industry: \_\_\_\_\_

Annual Income (RM)  
(please select either one)

☐ < RM 12,000      ☐ RM 12,001 – RM 30,000      ☐ RM 30,001 – RM 60,000      ☐ RM 60,001 – RM 90,000  
☐ RM 90,001 – RM 120,000      ☐ RM 120,001 – RM 240,000      ☐ > RM 240,000

Estimated Net worth (RM)  
(please select either one)

☐ < RM 50,000      ☐ RM 50,001 – RM 100,000      ☐ RM 100,001 – RM 200,000      ☐ RM 200,001 – RM 500,000  
☐ RM 500,001 – RM 1 Million      ☐ > RM 1 Million – RM 3 Million      ☐ > RM 3 Million

## 8. ONLINE SERVICES “KENTRADE”

I acknowledge that by default, KIBB provides KenTrade services to all individual clients. Therefore, you are required to register for KenTrade ID immediately upon receiving the email notification to your email address registered with KIBB. It is my responsibility to ensure that registration for KenTrade services is done on a timely manner upon receiving the email notification from KIBB and I shall accept and assume the inherent risk in the event I did not register for the KenTrade services. However, if you do not wish to register for the KenTrade ID please state reasons:

<sup>1</sup> Subject to any applicable regulations or laws, by subscribing to online trading you have consented to receive communications, notices, statements from KIBB via the online trading portal.

## 9. # DOMESTIC CREDIT FACILITY (DCF)

**Declaration of Domestic Credit Facility as at to-date as defined under Foreign Exchange Policy Notices of Bank Negara Malaysia (FEP Notices):**

[ ] I do **not have** any domestic credit facility as defined under the FEP Notices.

[ ] I do **have** domestic credit facility as defined under the FEP Notices.

**Declaration of Exposure Limits in Foreign Investments (in RM equivalent) for the current calendar year-to-date:**

- Currently Invested: RM \_\_\_\_\_
- Remaining balance for investment: RM \_\_\_\_\_

## 10. SUBSCRIPTION FOR GLOBAL (Foreign Shares) TRADING: [ ] Yes [ ] No

Settlement options: [ ] RM [ ] Non RM

(required to complete if you wish to trade in foreign markets)

## **TERMS & CONDITIONS/DECLARATION BY CUSTOMER**

1. I have read, understand and acknowledge the relevant terms and conditions governing the above-mentioned accounts and/or facilities and fully accept and agree to be continued to be bound by the said terms, including the terms in the Customer Information Form ("CIF") and the \*Client Account Common Terms and Conditions, or the \*Client Account Common Terms and Conditions for Islamic Stockbroking, or the \*Terms and Conditions governing Securities Borrowing and Lending products and facilities. (\*where applicable).
2. I hereby confirm my/our account settlement instruction as stated above, and I hereby agree with the following:
  - a) All receipt and payment for local and/or foreign currencies for Global Trading must be remitted via interbank / telegraphic transfer to "Kenanga Investment Bank Berhad" only. Any bank charges shall be borne by me. Foreign currency cash/cheque is not acceptable over the counter.
  - b) I will instruct KIBB by giving one (1) market day advance notice for any cross-currency settlement / withdrawal of trust monies in foreign currency and KIBB shall effect the remittance transaction based on the relevant currency conversion rate.
  - c) To abide by KIBB's internal policies, the applicable rules and regulations imposed by the regulators and any amendments or variation thereof.
  - d) To bear any fees, charges and / or penalties which may be charged by any agent banks or banking institutions should I remit foreign currency inward or outward via interbank/telegraphic transfers.
3. Electronic Statements accept and assume the inherent risks that are associated with receiving electronic information. I may revoke my consent to receive e-statement at any time by giving KIBB a written notice within seven (7) market days.
4. Change of Dealer's Representative: I confirm that if there is an outstanding balance as stated under my account maintained with KIBB as at to-date, I agree that KIBB reserves the right to keep the conversion request in abeyance until the outstanding in my account is fully settled.
5. I hereby instruct/authorize KIBB to credit all my sale proceeds, dividend and net contra gains, whichever is applicable due to me after deducting all outstanding charges, contra losses etc arising from my transactions effected through my trading account and shall be auto credited to my trust account. This standing instruction shall take effect until I expressly revoke it by giving KIBB written notice within seven (7) market days.
6. In consideration of my instruction/authorization for my trust withdrawal to be credited to the bank account(s) provided by me, I undertake and agree to indemnify KIBB from and against all actions, demands, losses, damages, costs, charges and/or expenses which KIBB may sustain, incur and/or be liable in consequence of, attribute to or arising from KIBB performing the aforesaid request/instruction or otherwise in relation thereto. I agree that in the event the payment into my bank account cannot be affected due to unforeseen circumstances, KIBB may credit the payment into my trust account with KIBB without KIBB having to consult or confirm with me. KIBB has the right to reject my instruction in the event it is found that the bank account(s) as provided by me is a third party account.
7. I hereby provide my consent to, and agree that, KIBB shall be entitled to co-mingle all or part of monies deposited by me for KIBB to hold and to utilize for payment of all such amount that may be due from me from time to time under my trading account(s) with KIBB for trading purposes ("Deposit"), with other sums that KIBB may hold for other clients in trust account(s) with financial institution(s) of its choice. I shall be entitled to receive interest / profit earned on the Deposit at the rate prescribed by KIBB in its sole and absolute discretion ("Interest / Profit Earned"), provided that I maintain minimum Deposit of a sum as prescribed and notified by KIBB ("Minimum Amount"). I further consent and agree that KIBB shall be entitled to keep the following interest / profit earned to defray KIBB's administrative costs:
  - a) in the event where my Deposit is above the Minimum Amount, all the interest / profit earned from the Deposit exceeding the Interest/Profit Earned; and
  - b) in the event where my Deposit is the Minimum Amount or below, all interest / profit earned on my Deposit.
8. I hereby declare that all the particulars and information including any relevant document provided by me in this Individual Account Update Form are true and correct and I have not withheld any material facts or information from Kenanga Group. I undertake to furnish Kenanga Group with such additional particulars including any relevant document as Kenanga Group may require from time to time. I further authorise Kenanga Group to verify the information given from any source (including third parties) and in such manner as Kenanga Group shall deem fit. I undertake to inform Kenanga Group in writing should there be any change(s) to my particulars declared in this Individual Account Update Form.

\* The full Client Account Common Terms and Conditions can be accessed at <https://www.kentrade.com.my/terms-conditions>

### Note:

"KIBB" means Kenanga Investment Bank Berhad (197301002193 (15678-H)) or any of its subsidiaries, including branches, and shall include its respective successors in title and assigns.

"Beneficial Owner", "Authorised Nominee" and "Exempt Authorised Nominee" have the meaning as in the Securities Industry (Central Depositories) Act and the Depository Rules.

### **Witness (Remisier/Dealer/EBTP)**

Client Name: \_\_\_\_\_

Witness Name: \_\_\_\_\_

Client Signature: \_\_\_\_\_

Witness Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

### **FOR OFFICE USE ONLY**

Verified By: \_\_\_\_\_

Approved By: \_\_\_\_\_

Date Entry By: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_