

A. INDIVIDUAL / CORPORATE PARTICULARS

Name (As per NRIC/Passport/ Other ID/Co.Reg.)	<div></div>																								
Form of Identification	<input type="checkbox"/> NRIC / Company Registration No.	<input type="checkbox"/> MyPR	<input type="checkbox"/> Passport	<input type="checkbox"/> Army/Police Identity																					
Identification No. / Company Registration No.	<div></div>												Old Identification No. / Company Registration No.	<div></div>											
CDS Account Number	<div>073</div>												Trading Account No 1	<div></div>											
Branch Code	<div></div>			Trading Account No 2	<div></div>																				
				Dealer's Rep Code	<div></div>																				
				Sub Trader Code	<div></div>																				

B. PRODUCTS AND FACILITIES (please mark 'x' wherever is applicable)

CONVENTIONAL	ISLAMIC	DESCRIPTION
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Kenanga Nominees
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Direct
<input type="checkbox"/>	<input type="checkbox"/>	
Share Margin Account		<input type="checkbox"/> Short-term Margin: M2 (30 days) <input type="checkbox"/> Normal Margin: M3 (3 months) <input type="checkbox"/> Short-term Margin-i or StockTrade-i: M2-i (30 days) <input type="checkbox"/> Normal Margin Financing-i: M3-i (3 months) Annual Income: RM _____
Online Trading Services "KENTRADE" (Only for Corporate Account)		<input type="checkbox"/> ACTIVATE <input type="checkbox"/> DEACTIVATE (Mandatory to provide Online Board Resolution for Corporate Account)
Subscription for Trading in Foreign Market		For trading in US / Canada Shares please submit Individual Account : W-8BEN or W-9 (for US Person) Corporate Account : W-8BEN-E
Settlement options		
Online Access for Foreign Market		
Limit Allocation		
Auto Set Off Trust Monies against Purchase		<input type="checkbox"/> ACTIVATE <input type="checkbox"/> DEACTIVATE
Trading in LEAP Market		<input type="checkbox"/> Activation <input type="checkbox"/> Deactivation (Please provide a self-declaration confirming that you satisfy at least one of the criteria for a sophisticated investor under the Securities Commission's Guidelines on Categories of Sophisticated Investors) (Mandatory to execute LEAP Market Risk Disclosure Statement for qualified Sophisticated Investor ¹)
Intraday Short Selling ('IDSS')		<input type="checkbox"/> Activation <input type="checkbox"/> Deactivation (Mandatory to execute IDSS Risk Disclosure Statement & SBL Agreement)
Discretionary Financing		<input type="checkbox"/> Activation <input type="checkbox"/> Deactivation (Applicable for Collateralised Account with Auto Trust)
Leveraged & Inverse Exchange Traded Funds (L&I ETFs)		<input type="checkbox"/> Activation <input type="checkbox"/> Deactivation (Mandatory to execute Leveraged & Inverse Exchange Traded Funds (L&I ETFs) Risk Disclosure Statement)
Securities Borrowing & Lending ('SBL')		<input type="checkbox"/> Borrowing only <input type="checkbox"/> Lending only <input type="checkbox"/> Borrowing and Lending
Other Product (please specify)		

¹ Sophisticated Investor under Part I of Schedules 6 and 7 of the Capital Markets and Services Act 2007, or Bursa's Rules 16.02 (2)(b) and (3)(c)

C. COLLATERAL OFFERED *Please write on a separate sheet if the space provided below is insufficient.

Credit Limit Applied for: RM _____

Securities	Quantity

Securities	Quantity

Initial Cash Deposit (RM)	
Initial Cash Deposit (Non-RM)	

D. DECLARATION, ACKNOWLEDGMENT AND AUTHORISATION BY CUSTOMER

I/We hereby solemnly, voluntarily, conscientiously, irrevocably and unconditionally declare / consent, that I/we have read and fully understood the full contents of the following:

- I/We acknowledge and agree to abide by all the terms of this Equity Broking Account Opening ("Products") Form. The terms of this Products Form shall be read together with the terms of the Customer Information Form ("CIF"). The terms of this Products Form shall prevail in the event of any inconsistency between these terms and the terms of the CIF.
- I/We hereby declare that the particulars and information given in this Products Form are true and correct and I/We have not withheld any material facts or information from KIBB. I/We undertake to furnish KIBB with such additional particulars as KIBB may require from time to time. I/We further authorize KIBB to verify the information given by me/us from any source (including third parties and regulatory bodies) and in such manner as KIBB shall deem fit. I/We undertake to inform KIBB in writing should there be any change(s) to our particulars declared in this Products Form.
- I/We hereby instruct/authorize KIBB to credit all my/our sale proceeds, dividend and net contra gains, whichever is applicable due to me/us after deducting all outstanding charges, contra losses etc arising from my transactions effected through my/our trading account shall be auto credited to my/our trust account. This standing instruction shall take effect until I/we expressly revoke it by executing the Equity Broking Update form.
- In consideration of my/our instruction/authorization for my/our trust withdrawal to be credited to the bank account(s) provided by me/us, I/we undertake and agree to indemnify KIBB from and against all actions, demands, losses, damages, costs, charges and/or expenses which KIBB may sustain, incur and/or be liable in consequence of, attribute to or arising from KIBB performing the aforesaid request/instruction or otherwise in relation thereto. I/We agree that in the event the payment into my/our bank account cannot be affected due to unforeseen circumstances, KIBB may credit the payment into my/our trust account with KIBB without KIBB having to consult or confirm with me/us. KIBB has the right to reject my/our instruction in the event it is found that the bank account(s) as provided by me/us is a third-party account.
- *I/We have read and understood the risk disclosure statement as stated in the *Client Account Common Terms and Conditions, or the *Client Account Common Terms and Conditions for Islamic Stockbroking in investing in structured warrants, or the *Terms and Conditions governing Securities Borrowing and Lending products and facilities. (*where applicable).
- I/We hereby confirm my/our account settlement instruction for Global Trading as stated above, and I/We hereby agree with the following:
 - All receipt and payment of foreign currencies must be remitted via interbank / telegraphic transfer to "Kenanga Investment Bank Berhad" only. Any bank charges shall be borne by me/us. Foreign currency cash/cheque is not acceptable over the counter.
 - I/we will instruct KIBB by giving one (1) market day advance notice for any cross-currency settlement / withdrawal of trust monies in foreign currency and KIBB shall affect the remittance transaction based on the relevant currency conversion rate.
 - To abide by KIBB's internal policies, the applicable rules and regulations imposed by the regulators and any amendments or variation thereof.
 - To bear any fees, charges and / or penalties which may be charged by any agent banks or banking institutions should I/we remit foreign currency inward or outward via interbank/telegraphic transfers.
- I/We hereby declare that the status of my/our trading account is as stated below: **(tick where applicable)**
 - ☐ For me / us as a beneficial owner; or
 - ☐ For me / us as an authorized nominee / exempt authorized nominee (please cross out which are not relevant)
- I/We hereby declare that the beneficial owner(s) of my/our trading account and CDS account is/are the same (only applicable for those who select authorized nominee/exempt authorized nominee under paragraph 7).
- I/We hereby provide my/our consent to, and agree that, KIBB shall be entitled to co-mingle all or part of monies deposited by me/us for KIBB to hold and to utilize for payment of all such amount that may be due from me/us from time to time under my/our trading account(s) with KIBB for trading purposes ("Deposit"), with other sums that KIBB may hold for other clients in trust account(s) with financial institution(s) of its choice. I/We shall be entitled to receive interest / profit earned on the Deposit at the rate prescribed by KIBB in its sole and absolute discretion ("Interest / Profit Earned"), provided that I/we maintain minimum Deposit of a sum as prescribed and notified by KIBB ("Minimum Amount"). I/We further consent and agree that KIBB shall be entitled to keep the following interest / profit earned to defray KIBB's administrative costs:
 - in the event where my/our Deposit is above the Minimum Amount, all the interest / profit earned from the Deposit exceeding the Interest / Profit Earned; and
 - in the event where my/our Deposit is the Minimum Amount or below, all interest / profit earned on my Deposit.
- I hereby consent to the disclosure by Bursa Malaysia Depository Sdn. Bhd. ("Bursa Depository") to KIBB, and to such agents, service providers and sub-contractors of KIBB as informed by KIBB to Bursa Depository, of information or documents relating to my affairs and in particular, relating to my account(s) (for example balances, account particulars and transactions) ("Information"). This consent shall be valid until revoked by me. I hereby release Bursa Depository from any loss or liability arising from or in connection with this authorisation except for loss or liability which I may suffer as a result of any act, statement or omission that was not done in good faith by Bursa Depository.

Note: "KIBB" means Kenanga Investment Bank Berhad (15678-H) or any of its subsidiaries, including branches, and shall include its respective successors in title and assigns. "Beneficial Owner", "Authorised Nominee" and "Exempt Authorised Nominee" have the meaning as in the Securities Industry (Central Depositories) Act and the Depository Rules.

Kenanga Investment Bank Berhad (197301002193) (15678-H)
Kenanga Futures Sdn Bhd (199501024398) (353603-X)

Signature of Customer (Individual) / Authorised Signatory
 (Corporate)
 Name:
 NRIC/Passport/Co.Reg./Other ID:
 Date:

Please affix your company stamp / common seal in
 accordance with the Board Resolution

Signature of Witness
 (please delete whichever is not applicable)
 Dealer's Representative/Authorised Officer of KIBB/Commissioner for
 Oaths/Authorised Officer of a Malaysia Embassy or High
 Commission Consulate/Notary Public/Advocate or Solicitor/Company
 Secretary
 Name:
 NRIC/Passport No/Other ID:
 Date:

E. DECLARATION BY DEALER'S REPRESENTATIVE

- 1) No of years I have known the Customer _____
- 2) How do I know the Customer/relationship _____
- 3) Other background information _____
- 4) In your observations, is this client considered a vulnerable client? ☐ Yes ☐ No If Yes, please provide details _____

I hereby declare that the information given by me is true and correct and I have not withheld any information which might prejudice this application. I further declare that all information given by the Customer on this form has been verified and is a correct record and reflection of the Customer. I also confirm that the signature on this page is that of the Customer and am aware that the Customer is required to comply with all terms and conditions accompanying this application from which KIBB may vary from time to time at its absolute discretion.

I hereby agree to accept the above person, namely, _____

NRIC/Passport No/Co. Reg. No _____

("Customer") as my customer. I take cognizance of the Customer's application and request herein as well as the terms and conditions specified herein, and I further support the application and recommend that the application be approved. I further confirm that I have witnessed the signature of the Customer.

I undertake to indemnify KIBB against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered or which KIBB may incur or suffer in relation to KIBB opening an account for this Customer and the subsequent operation thereof including non-observance and/or non-payment of any transactions in securities entered into between the Customer and KIBB (whether in respect of the Customer's trading account, collateralised trading account and/ or margin account and/or discretionary financing account

Signature of Dealer's Representative
Name: _____
NRIC/Passport/Other ID: _____
Date _____

Recommended Trading Limit Allocation:

_____ % BTX Limit. _____ % CQ Limit.

Brokerage Rate & Intraday Option:

F.1 OFFICE USE (To be completed by Branch and / or EBTP)

Application Form / Risk Profile Classification

Checked By _____ Date _____

Approved By _____ Date _____

Creation of Account

Employee Category: ☐ Staff ☐ Remisier ☐ Dealer ☐ Trading Representative

Processed / Data Entry By _____ Date _____

Checked By _____ Date _____

Trading Account 1 _____ Date _____

Trading Account 2 _____ Date _____

Sub Account _____ Principal Account _____

F.2 OFFICE USE (To be completed by CEB/Margin)

	Buy Limit	Sell Limit
Trading		

Credit Check ☐ Yes ☐ No

Remarks _____

Limit Recommended by _____ Date : _____

Limit Approved by _____ Date : _____